

# Thermal Dihydrogen Elimination from $\text{Cp}^*\text{Yb(4,5-Diazafluorene)}$

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Supporting Information Placeholder

**ABSTRACT:** The reaction of 4,5-diazafluorene with  $\text{Cp}^*\text{Yb}(\text{OEt}_2)$ , where  $\text{Cp}^*$  is pentamethylcyclopentadienyl, affords the isolable adduct  $\text{Cp}^*\text{Yb(4,5-diazafluorene)}$ , **1**, which slowly eliminates  $\text{H}_2$  forming  $\text{Cp}^*\text{Yb(4,5-diazafluorenyl)}$ , **2**; the net reaction is therefore **1**  $\rightarrow$  **2** +  $\text{H}_2$ . The ytterbium atom in **1** is shown to be intermediate valent by variable temperature  $\text{L}_{\text{III}}$ -edge X-ray absorption near-edge (XANES) spectroscopy, consistent with its low effective magnetic moment ( $\mu_{\text{eff}}$ ). The experimental studies are supported by complete active space self-consistent field (CASSCF) calculations showing that two open-shell singlets lie below the triplet state. The two open-shell singlets are calculated to be multiconfigurational and closely spaced, in agreement with the observed temperature dependence of the XANES and  $\chi$  data, which are fit to a Boltzmann distribution. A mechanism for dihydrogen formation is proposed on the basis of kinetic and labeling studies to involve a bimetallic complex  $(\text{Cp}^*\text{Yb})_2(4,5\text{-diazafluorenyl})_2$  in which the heterocyclic amine ligands are joined by a carbon-carbon bond at C(9)-C(9').

## INTRODUCTION.

The 2,2'-bipyridine adduct of decamethylytterbocene,  $(\text{C}_5\text{Me}_5)_2\text{Yb}(\text{bipy})$  and related adducts with heterocyclic amine ligands are classified as intermediate valence compounds.<sup>1</sup> Thus the valence of ytterbium in the  $\text{Cp}^*\text{Yb}$  fragment is neither Yb(II) nor Yb(III), but its value is between these extreme integral values.<sup>2-5</sup> A molecular model was developed from computational studies that accounts for the following experimental data: (i) the low value of the effective moment ( $\mu_{\text{eff}}$ ) at 300 K, and its temperature dependence from 5 K to 300 K and (ii) the ytterbium  $\text{L}_{\text{III}}$ -edge X-ray absorption near-edge (XANES) spectra show the presence of  $f^{13}$  and  $f^{14}$  features that are independent of temperature from 30 K to 400 K, with the  $f^{13}$  configuration dominant. A physical model, consistent with all these observations was derived from CASSCF calculations, is that the ground state is an open-shell singlet consisting of  $f^{13}(\pi^*)^1$  and  $f^{14}(\pi^*)^0$  configurations that are lower in energy than the triplet configuration.

When the experimental studies were extended to methyl-substituted bipyridine ligands coordinated to  $\text{Cp}^*\text{Yb}$ , the adducts exhibit magnetic moments and  $n_f$  values that depend upon temperature, the number of methyl groups, and their positions in the bipyridine ligands ( $n_f$  accounts for f-hole occupancy, such that when  $f^{14}$  configuration is zero,  $n_f = 1$ ). The temperature dependences were fit with the Boltzmann equation and shown to result from an equilibrium between two open-shell singlet configurations. The active space in the computational model was expanded to include additional  $\pi^*$  configurations on the substituted bipyridine ligand and a perturbative PT2 correction was added in order to account for these experimental results.

The studies outlined above show that the magnetic and spectroscopic properties can be described by a molecular model based upon wave function methodology. A question that naturally arises is does the multiconfigurational ground

state play a role in the chemistry, that is, breaking and making chemical bonds, as indicated by  $\Delta H^0$  and  $\Delta H^\ddagger$ . In this article we begin a systematic series of studies aimed at answering this question. The initial studies begin by preparing the adduct between  $\text{Cp}^*\text{Yb}$  and 4,5-diazafluorene (see Figure 1), which is 2,2'-bipyridine with a  $\text{CH}_2$  group annulated in the 3,3'-position. This ligand may be viewed as a cyclopentadiene that shares edges with two pyridine ligands at the 1,2 and 3,4 positions. Thus, the NCCN torsion angle is fixed to be close to zero and one of the two  $\text{CH}_2$  hydrogens is acidic; the  $\text{pK}_a$  of  $\text{CpH}$  is 18 and of fluorene is 22.6.<sup>6</sup> The experimental and computational studies show that the ground state is multiconfigurational. The experimental studies also show that the adduct eliminates dihydrogen stoichiometrically. Combining these experimental and theoretical results allows for a molecular level of understanding of the origin of the reactivity of  $\text{Cp}^*\text{Yb(4,5-diazafluorene)}$ .

## EXPERIMENTAL SECTION.

**General considerations.** All reactions were performed using standard Schlenk-line techniques or in a drybox (MBraun). All glassware was dried at 150 °C for at least 12 h prior to use. Toluene and pentane were dried over sodium and distilled while  $\text{CH}_2\text{Cl}_2$  was purified by passage through a column of activated alumina. Toluene- $d_8$ , pyridine- $d_5$  and  $\text{C}_6\text{D}_6$  were dried over sodium. All the solvents were degassed prior to use. Infrared samples were prepared as Nujol mulls and taken between KBr plates and recorded on a Thermo Scientific Nicolet IS10 spectrometer. Samples for ultraviolet, visible, and near-infrared spectrometry were prepared in a Schlenk-adapted quartz cuvette and spectra were obtained using a Varian Cary 50 scanning spectrophotometer. Melting points were determined in sealed capillaries prepared under nitrogen and are uncorrected. Elemental analyses and mass spectra (EI) were determined by the Microanalytical Laboratory of the

College of Chemistry, University of California, Berkeley. X-ray structural determinations were performed at CHEXRAY, University of California, Berkeley. Magnetic susceptibility measurements were made for all samples at 5 and 40 kOe in a 7 T Quantum Design Magnetic Properties Measurement System, that utilized a superconducting quantum interference device (SQUID). Sample containment and other experimental details have been described previously.<sup>4</sup> The samples were prepared for X-ray absorption experiments as described previously and the same methods were used to protect the air-sensitive compounds from oxygen and water.<sup>7</sup> X-ray absorption measurements were made at the Stanford Synchrotron Radiation Lightsource on beamline 11-2. The samples were prepared and loaded into a liquid helium-flow cryostat at the beamline as described previously.<sup>7</sup> Data were collected at temperatures ranging from 30 to 300 K, using a Si(220) double-crystal monochromator. Fit methods were the same as described previously.<sup>7</sup>

**Kinetics experiments and NMR experiments.** <sup>1</sup>H NMR spectra were recorded on Bruker AVB-400 MHz, DRX-500 MHz, AV-600 MHz and Advance 300 MHz spectrometers. <sup>1</sup>H chemical shifts are given relative to  $\delta = 0$  (TMS). Kinetics studies were performed using a Bruker DRX-500 MHz <sup>1</sup>H NMR at a given temperature ( $\pm 0.1$  °C) and by integrating data manually for each spectrum. All the data were integrated relative to an internal reference (Toluene or dihydroanthracene or the grease peak) to track mass loss during the progress of the reaction. The measured loss of intensity did not exceed 5% over the kinetic study.

**Synthesis. Ligands. 4,5-diazafluorene.** The ligand 4,5-diazafluorene was prepared according to a published procedure by reducing the 4,5-diazafluorenone by an excess of hydrated hydrazine (NH<sub>2</sub>NH<sub>2</sub>·H<sub>2</sub>O) (16h at 100 °C).<sup>8,9</sup> After extraction in CH<sub>2</sub>Cl<sub>2</sub>, the pure 4,5-diazafluorene was sublimed at 80 °C under reduced pressure. <sup>1</sup>H NMR: (CDCl<sub>3</sub>, 300 K)  $\delta$  (ppm) 8.78 (d, 2H,  $J=7.8$  Hz, H<sub>3,6</sub> or H<sub>1,8</sub>), 7.94 (d, 2H,  $J=7.6$  Hz, H<sub>1,8</sub> or H<sub>3,6</sub>), 7.35 (dd,  $J=8.0$  Hz, H<sub>2,7</sub>), 3.92 (s, 2H, -CH<sub>2</sub>). mp: 172 °C, decomposition (lit<sup>8</sup> 172 °C). Deuterated 4,5-diazafluorene-9,9-d<sub>2</sub> was prepared in a similar way using ND<sub>2</sub>-ND<sub>2</sub>·D<sub>2</sub>O (% H ~ 5%). <sup>1</sup>H NMR: (CDCl<sub>3</sub>, 300 K)  $\delta$  (ppm) 8.79 (d, 2H,  $J=7.6$  Hz, H<sub>3,6</sub> or H<sub>1,8</sub>), 7.92 (d, 2H,  $J=8.0$  Hz, H<sub>1,8</sub> or H<sub>3,6</sub>), 7.34 (2H, dd,  $J=7.8$  Hz, H<sub>2,7</sub>), 3.92 (s, 0.08H, -CHD), 3.90 (s, 0.04H, -CH<sub>2</sub>); 94% of the CH<sub>2</sub> group is deuterated. <sup>2</sup>D NMR (CDCl<sub>3</sub>, 300 K): 3.92 (s, CD<sub>2</sub>).

**9,9'-bis-4,5-diaza-9H-fluorene.** The ligand was prepared as described in the literature.<sup>10-12</sup> Stirring 4,5-diazafluorenone for 4h at 100 °C in presence of hydrazine hydrate gave 9,9'-bis-4,5-diaza-9H-fluorene in 45% yield. The two products (*i.e.* 4,5-diazafluorene and 9,9'-bis-4,5-diaza-9H-fluorene) were separated on a silica-gel column (50% ethyl acetate, 50% hexane). 9,9'-Bis-4,5-diaza-9H-fluorene did not sublime but was ground into a fine powder and heated at 110 °C under reduced pressure for a week in order to eliminated the water of hydration. <sup>1</sup>H NMR: (CDCl<sub>3</sub>, 300 K)  $\delta$  (ppm) 8.68 (d, 4H,  $J=4.8$  Hz), 7.31 (d, 4H,  $J=8.0$  Hz), 7.13 (dd, 4H,  $J=7.8$  Hz), 4.88 (s, 2H, -CH). The deuterated 9,9'-bis-4,5-diaza-9D-fluorene was prepared in a similar way using ND<sub>2</sub>-ND<sub>2</sub>·D<sub>2</sub>O (% H ~ 5%). <sup>1</sup>H NMR: (CDCl<sub>3</sub>, 300 K)  $\delta$  (ppm) 8.68 (d, 4H,  $J=4.8$  Hz), 7.31 (d, 4H,  $J=8.0$  Hz), 7.13 (dd,  $J=7.8$  Hz), 4.88 (s, 0.009H, -CH). <sup>2</sup>D NMR (CDCl<sub>3</sub>, 300 K): 4.92 (s, CD<sub>2</sub>).

**Complexes. Cp<sub>2</sub>Yb(4,5-diazafluorene) (1).** A cold toluene solution (10 mL) of Cp<sub>2</sub>Yb(OEt<sub>2</sub>) (0.127 g, 0.245 mmol) was added dropwise to a cold suspension of 4,5-diazafluorene (0.042 g, 0.245 mmol) in toluene (5 mL) at 0 °C. The

brown/purple suspension was stirred for 2 h and then filtered at 0 °C. The filtrate was cooled at -20 °C and a dark brown microcrystalline powder formed overnight (16 h). The powder was collected by filtration (101 mg, 80%), washed three times with cold toluene and dried under reduce pressure (67 mg, 53%). <sup>1</sup>H NMR: (Toluene-d<sub>8</sub>, 300 K)  $\delta$  (ppm) 71.07 (2H), 30.50 (2H), 6.35 (2H), 4.65 (2H), 4.23 (30H, Cp<sup>\*</sup>); (thf-d<sub>8</sub>, 297K)  $\delta$  (ppm) 45.59 (2H), 27.61 (2H), 9.51 (2H), 8.81 (2H), 3.05 (30H, Cp<sup>\*</sup>). (py-d<sub>5</sub>, 297K)  $\delta$  (ppm) 35.80 (2H), 23.41 (2H), 9.35 (2H), 6.34 (2H), 2.94 (30H, Cp<sup>\*</sup>). mp: 273-275 °C. Anal. Calcd for C<sub>31</sub>H<sub>38</sub>N<sub>2</sub>Yb: C, 60.87; H, 6.26; N, 4.58. Found: C, 60.63; H, 6.46; N, 4.45. Vis-NIR (Toluene,  $\lambda$  nm  $\epsilon$ (cm<sup>-1</sup>M<sup>-1</sup>): 474 (2840), 501 (3410), 789 (1680), 909 (2450), 1005 (1390). IR (cm<sup>-1</sup>): 2962 (w), 2903 (w), 2850 (m), 1595 (w), 1578 (w), 1563 (m), 1435 (m), 1406 (s), 1378 (m), 1296 (s), 1260 (m), 1232 (m), 1164 (m), 1089 (s), 1015 (s), 924 (w), 859 (m), 796 (m), 776 (m), 765 (s), 729 (s), 695 (w). MS: {Cp<sup>\*</sup><sub>2</sub>Yb(4,5-diazafluorene)-H}, m/z = 611.

**Cp<sub>2</sub>Yb(4,5-diazafluorene-d<sub>2</sub>) (1-d<sub>2</sub>).** The synthesis was similar to that used for the unlabelled compound using 145 mg (0.280 mmol) of Cp<sup>\*</sup><sub>2</sub>Yb(OEt<sub>2</sub>). <sup>1</sup>H NMR: (Toluene-d<sub>8</sub>, 300 K)  $\delta$  (ppm) 71.07 (2H), 30.50 (2H, H), 6.35 (2H), 4.65 (0.16H, -CH<sub>2</sub>), 4.23 (30H, Cp<sup>\*</sup>). <sup>2</sup>H NMR: (C<sub>6</sub>D<sub>6</sub>, 298K) 4.82. mp: 273-275 °C. Anal. Calcd for C<sub>31</sub>H<sub>36</sub>D<sub>2</sub>N<sub>2</sub>Yb: C, 60.67; H, 5.95; N, 4.59. Found: C, 59.56; H, 6.07; N, 4.31. Vis-NIR (Toluene,  $\lambda$  nm  $\epsilon$ (cm<sup>-1</sup>M<sup>-1</sup>): 474 (2840), 501 (3410), 789 (1680), 909 (2450), 1005 (1390). IR (cm<sup>-1</sup>): 2183 (m), 2117 (w), 1580 (m), 1538 (m), 1459 (m), 1396 (s), 1378 (m), 1292 (s), 1260 (m), 1246 (m), 1163 (s), 1095 (s), 1015 (s), 934 (w), 799 (m), 757 (m), 731 (s). MS: {Cp<sup>\*</sup><sub>2</sub>Yb(4,5-diazafluorene-d<sub>2</sub>)-D}, m/z = 612.

**Cp<sub>2</sub>Yb(4,5-diazafluorenyl) (2).** The complex Cp<sup>\*</sup><sub>2</sub>Yb(OEt<sub>2</sub>) (0.127 g, 0.245 mmol) was mixed with 4,5-diazafluorene (0.042 g, 0.245 mmol) and toluene (10 mL) was added at room temperature. The brown/purple suspension was stirred for 48 h at 70 °C, cooled to room temperature and filtered. The volume of the filtrate was reduced to 2 mL and the deep purple solution was cooled at -20 °C. The small dark purple crystals that formed were collected by filtration and dried under reduced pressure. (77 mg, 52%). <sup>1</sup>H NMR: (Toluene-d<sub>8</sub>, 300 K)  $\delta$  (ppm) 122.19 (2H), 31.54 (2H), 16.78 (2H), 11.83 (1H), 3.69 (30H, Cp<sup>\*</sup>); (thf-d<sub>8</sub>, 297K)  $\delta$  (ppm) 123.51 (2H), 31.94 (2H), 16.85 (2H), 11.57 (1H), 3.66 (30H, Cp<sup>\*</sup>). (py-d<sub>5</sub>, 297K)  $\delta$  (ppm) 124.38 (2H), 32.32 (2H), 17.16 (2H), 12.02 (1H), 3.98 (30H, Cp<sup>\*</sup>). mp: 275-278 °C (dec). Anal. Calcd for C<sub>31</sub>H<sub>37</sub>N<sub>2</sub>Yb: C, 60.97; H, 6.11; N, 4.59. Found: C, 61.04; H, 5.94; N, 4.48. Vis-NIR ( $\lambda$  nm  $\epsilon$ (cm<sup>-1</sup>M<sup>-1</sup>): 426 (1250), 502 (360), 538 (405), 581 (395), 634 (210), 963 (40), 908 (30), 1001 (55). IR (cm<sup>-1</sup>): 2954 (w), 2924 (w), 2854 (m), 2725 (m), 1561 (w), 1528 (w), 1460 (s), 1408 (w), 1377 (s), 1327 (w), 1300 (m), 1261 (m), 1260 (m), 1164 (w), 1090 (s), 1020 (s), 947 (w), 888 (w), 798 (s), 781 (m), 726 (m), 684 (m).

**Cp<sub>2</sub>Yb(4,5-diazafluorenyl-d) (2-d).** The synthesis was similar to that used for the unlabeled complex from 51 mg (0.099 mmol) of Cp<sup>\*</sup><sub>2</sub>Yb(OEt<sub>2</sub>). mp: 275-276 °C (dec). IR (cm<sup>-1</sup>): 2923 (s), 2854 (s), 2360 (m), 2342 (m), 1529 (w), 1518 (w), 1463 (s), 1377 (m), 1328 (w), 1319 (w), 1309 (w), 1261 (m), 1204 (m), 1091 (m), 1018 (m), 799 (s), 780 (m), 737 (w), 724 (m), 668 (w). <sup>2</sup>H NMR: (Toluene-d<sub>8</sub>, 297K)  $\delta$  (ppm) 11.98.

**In situ synthesis of (Cp<sup>\*</sup><sub>2</sub>Yb)<sub>2</sub>(9,9'-bis-4,5-diazafluorene-d<sub>2</sub>) (3/3-d<sub>2</sub>).** A 1:1 brown mixture of 9,9'-bis-4,5-diazafluorene-d<sub>2</sub> and Cp<sup>\*</sup><sub>2</sub>Yb(OEt<sub>2</sub>) in C<sub>6</sub>D<sub>6</sub> was stirred vigorously for 30s, then transferred quickly into a NMR tube adapted with a J.Young<sup>2</sup> valve and quickly cooled in liquid N<sub>2</sub> (77 K) once outside the

dry box. The sample was transported to the NMR spectrometer, rapidly warmed to room temperature, then inserted into the probe, pre-cooled at a given temperature. The kinetics data were collected from 290 K to 315 K over at least 3 half times.  $^1\text{H}$  NMR: ( $\text{C}_6\text{D}_6$ , 300 K)  $\delta$  (ppm) 79.20 (4H), 25.08 (4H), -0.591 (4H), 5.40 (30H,  $\text{Cp}^*$ ), 3.84 (30H,  $\text{Cp}^*$ ). The kinetics were also obtained at room temperature using an analogous procedure but followed by visible spectroscopy for the labeled and unlabeled complex.

#### Isolation of $(\text{Cp}^*_2\text{Yb})_2(9,9'\text{-bis-4,5-diazafluorenyl})$ (**4**).

A cold diethyl ether solution of  $\text{Cp}^*_2\text{Yb}(\text{OEt}_2)$  was added to an ether suspension of 9,9'-bis-4,5-diazafluorene at  $-77^\circ\text{C}$ . The brown solution that formed was stirred at  $-77^\circ\text{C}$  for 5 h, warmed to  $-40^\circ\text{C}$  and stored for 2 days at  $-40^\circ\text{C}$  and 5 days at  $-20^\circ\text{C}$ . The brown purple solution was then stirred at  $0^\circ\text{C}$  for 12h and at room temperature for another 12h. Solvent was removed under reduced pressure and the brown residue was analyzed by  $^1\text{H}$  NMR spectroscopy. Two sets of signals in a 1:1 ratio were observed. The two products were identified as complex **2** and complex **4**. Complex **4** was identified only by X-ray crystallography since only a small amount was obtained. The purple X-ray-suitable crystals were obtained by cooling a concentrated (30 mM) solution to  $10^\circ\text{C}$ .

**X-Ray Crystallography.** Single crystals of the compounds **2** and **4** were coated in Paratone-N oil and mounted on a Kapton loop. The loop was transferred to either a Bruker SMART 1000, SMART APEX, diffractometer equipped with a CCD area detector. Preliminary orientation matrixes and cell constants were determined by collection of 10 s frames, followed by spot integration and least-squares refinement. Data were integrated by the program SAINT and corrected for Lorentz and polarization effects. Data were analyzed for agreement and possible absorption using XPREP. A semi-empirical, multi-scan absorption correction was applied using SADABS. This model corrects the absorption surface using a spherical

harmonic series based on differences between equivalent reflections. The structures were solved by direct methods using SHELX. Non-hydrogen atoms were refined anisotropically and hydrogen atoms were placed in calculated positions and not refined for **4** but their positions were refined for **2**.

## RESULTS AND DISCUSSION.

The results are presented in five separate sections. (i) The synthesis and general characterization is followed by (ii) solution mechanistic studies of the thermal elimination of dihydrogen as **1** ( $\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorene})$ ) goes to **2** ( $\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorenyl})$ ). (iii) The electronic structural studies of **1** then set the stage for (iv) the computational results, followed by (v) a general discussion that presents our molecular level of understanding of the origin of the reactivity of **1**.

**Synthesis and Characterization.** The complex  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorene})$ , **1** (see Figure 1 for the structural formulae and numbering system for the ligands used in this article), is prepared by mixing a cold ( $0^\circ\text{C}$ ) toluene solution of  $\text{Cp}^*_2\text{Yb}(\text{OEt}_2)$  with a cold ( $0^\circ\text{C}$ ) toluene suspension of 4,5-diazafluorene. The resulting brown powder sublimates as dark green crystals at  $190^\circ\text{C}$  at  $10^{-2}$  mm, gives a  $\text{M-1}^+$  molecular ion in the mass spectrum, and melts at  $273\text{-}275^\circ\text{C}$ . Attempts to grow crystals from solution were unsuccessful and those obtained from sublimation were not suitable for a X-Ray diffraction study. The temperature ( $0^\circ\text{C}$ ) for the synthesis is important, since reactions carried out at room temperature give  $^1\text{H}$  NMR spectra that contain resonances due to several metallocene compounds. In the  $0^\circ\text{C}$  temperature synthesis, the resulting  $^1\text{H}$  NMR spectrum is however, largely free of these additional resonances.

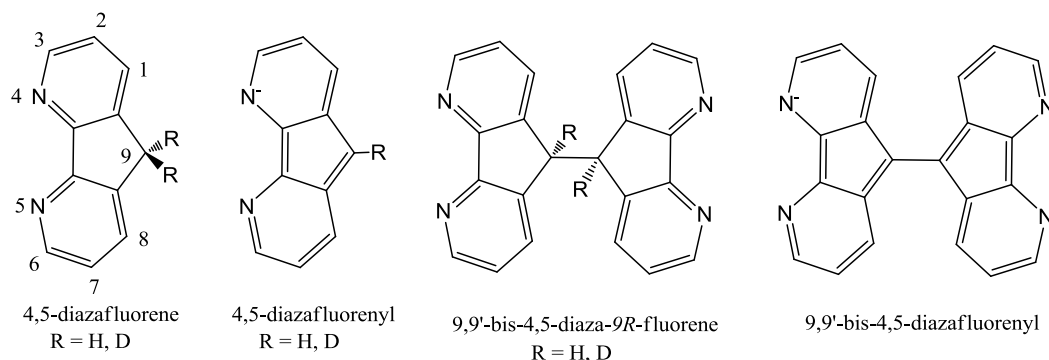
**Table 1.** Solid state properties of the complexes **1-4**.

Compound	color	m.p ( $^\circ\text{C}$ )	IR ( $\text{cm}^{-1}$ )	$\chi T$ (300 K)	$\mu_{\text{eff}}(\mu_B)$ (300 K)
$\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorene})$ ( <b>1</b> )	dark green	273-275	2923, 2900	0.15	1.1
$\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorenyl})$ ( <b>2</b> )	dark red	275-278	3044, 3009	2.55	4.52
$\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorene-}d_2)$ ( <b>1-<math>d_2</math></b> )	dark green	273-275	2183, 2117	0.17	1.2
$\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorenyl-}d)$ ( <b>2-<math>d</math></b> )	dark red	275-276	2360, 2342	2.54	4.51
$(\text{Cp}^*_2\text{Yb})_2(9,9'\text{-bis-4,5-diazafluorene})$ ( <b>3/3-<math>d_2</math></b> ) <sup>a</sup>	dark brown			0.31	1.6
$(\text{Cp}^*_2\text{Yb})_2(9,9'\text{-bis-4,5-diazafluorenyl})$ ( <b>4</b> ) <sup>b</sup>	deep purple				

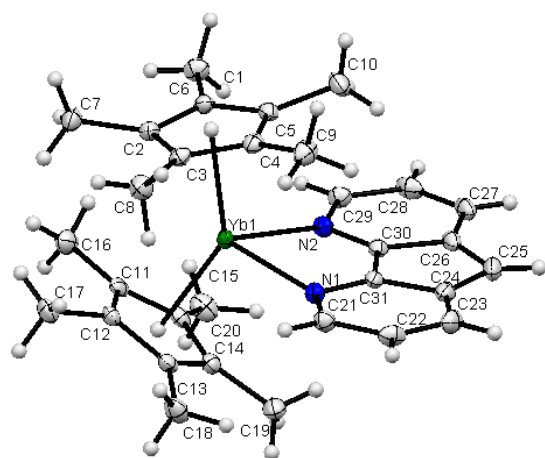
a) obtained as a powder

b) isolated product

**Figure 1.** Numbering scheme and name of the ligands used in this work.



In order to examine the origin of the temperature effect on the synthesis, the  $^1\text{H}$  NMR spectrum in  $\text{C}_6\text{D}_6$  was monitored at 60 °C over time at millimolar concentration. The resonances due to **1** convert into a major product with a 90% conversion after two days. The product is shown to be the complex  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorenyl})$ , **2**, by heating **1** in toluene at 70 °C for two days. Crystallization from toluene gave, **2**, as dark red crystals in moderate yield. Some physical properties of **1** and **2**, and their deuterio-derivatives are listed in Table 1. Compound **2** is derived from **1** by the loss of a hydrogen atom; when the reaction is monitored by NMR spectroscopy,  $\text{H}_2$  is detected.



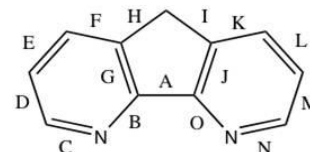
**Figure 2.** ORTEP for  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorenyl})$ , **2**, 50% probability ellipsoids, the non-hydrogen atoms are refined anisotropically and the hydrogen atoms are refined isotropically.

An ORTEP of **2** is shown in Figure 2; crystal data and packing diagrams are available in SI. Some selected bond lengths and angles are listed in Table 2. Four features of the solid-state structure are important relative to the electronic structure. (i) Only one hydrogen atom is attached to C(25), which is located and refined isotropically. The angles  $\text{C}(24)\text{C}(25)\text{H}$  and  $\text{C}(26)\text{C}(25)\text{H}$  are  $124(2)^\circ$  and  $128(2)^\circ$ , respectively, and since the  $\text{C}(24)\text{C}(25)\text{C}(26)$  angle is  $107.7(2)^\circ$ , the geometry at C(25) is trigonal planar. (ii) The  $\text{C}(24)\text{C}(25)$  and  $\text{C}(24)\text{C}(25)$  distances are  $1.421(3)$  Å and  $1.417(3)$  Å, respectively, in the range found for  $\text{C}(\text{sp}_2)\text{-C}(\text{sp}_2)$  distances in non-fused heterocycles of 1.41 to 1.43 Å.<sup>13</sup> (iii) The average  $\text{Yb-C}(\text{Cp})$  distance of  $2.609 \pm 0.009$  Å is close to the  $\text{Yb(III)-C}(\text{Cp})$  distance of  $2.59 \pm 0.01$  Å in  $[\text{Cp}^*_2\text{Yb}(\text{bipy})]^+[\text{Cp}^*_2\text{YbCl}_2]^-$ <sup>14</sup> and significantly shorter than the  $\text{Yb(II)-C}$  distance of  $2.74 \pm 0.04$  Å in  $\text{Cp}^*_2\text{Yb}(\text{py})_2$ .<sup>15</sup> (iv) The average  $\text{Yb-N}$  distance of  $2.372 \pm 0.001$  Å is identical to the  $\text{Yb(III)-N}$  distance in  $[\text{Cp}^*_2\text{Yb}(\text{bipy})]^+[\text{Cp}^*_2\text{YbCl}_2]^-$ <sup>14</sup> of  $2.372 \pm 0.005$  Å and significantly shorter than the  $\text{Yb(II)-N}$  distance of  $2.74 \pm 0.04$  Å in  $\text{Cp}^*_2\text{Yb}(\text{py})_4$ .<sup>15</sup> These bond lengths and angles in **2** are in agreement with a  $\text{Cp}^*_2\text{Yb}$  fragment based upon  $\text{Yb(III)}$  and a diazafluorenyl fragment that carries a negative charge. Accordingly, the Yb in **2** is  $\text{f}^{13}$ , which implies that Yb is  $\text{f}^{14}$  in **1**, since **1** and **2** differ by a single hydrogen atom.

**Table 2.** Selected bond distances (Å) and angles ( $^\circ$ ) for  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorenyl})$  (**2**).

$\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorenyl})$ ( <b>2</b> )	
Yb-C (distances range)	2.599(2) to 2.632(2)
Yb-C(ring), ave	$2.609 \pm 0.009$
Yb-Cp(cent), ave	2.31
Cp(cent)-Yb-Cp(cent)	140
Yb-N, ave	$2.372 \pm 0.001$
torsion angle N-C-C-N	0.5
torsion angle C-C-C-C	0.7

Bond	<b>2</b>
A	1.414(3)
B, O, ave	1.354(1)
C, N, ave	1.338(1)
D, M, ave	1.403(1)
E, L, ave	1.375(1)
F, K, ave	1.398(1)
G, J, ave	1.445(3)
H, I, ave	1.419(1)



Although **1** and **2** differ by one hydrogen, their  $^1\text{H}$  NMR spectra show that both are paramagnetic compounds; the chemical shifts at 25 °C are given in the Experimental Section. The variable temperature  $^1\text{H}$  NMR chemical shifts for **2** shown as a  $\delta$  vs.  $1/T$  plot (SI, Figure S1) are linear in temperature and therefore follow Curie behavior. This behavior is expected for an isolated  $\text{Yb(III)}$  paramagnet and consistent with the formulation deduced from the X-Ray structure, *viz.*,  $\text{Cp}^*_2\text{Yb(III)}(4,5\text{-diazfluorenyl})$ . Although the  $^1\text{H}$  NMR chemical shifts of **1** are indicative of a paramagnetic molecule, a plot of  $\delta$  vs.  $1/T$  shows that all of the resonances except those due to  $\text{Cp}^*$  are decidedly non-linear (SI, Figure S2). The non-linear behavior is reminiscent of the resonances observed for  $\text{Cp}^*_2\text{Yb}(\text{bipy})$  and its substituted derivatives.<sup>1-4,7,14</sup> The non-linearity is the first clue that the electronic structure of **1** is based upon intermediate-valent ytterbium, rather than divalent ytterbium, a point developed below.

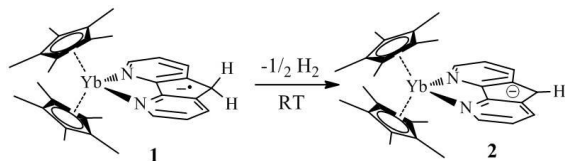
Another notable difference between the  $^1\text{H}$  NMR spectra of **1** and **2** is the different solvent dependence of their chemical shifts at 25 °C; the resonances of **1** depend strongly upon the solvent, while those of **2** do not. Thus, the most deshielded resonance, due to  $2\text{H}$ 's in **1** in toluene- $\text{d}_8$ , is a singlet at 71.1 ppm that moves upfield to 45.6 or 35.8 ppm in either  $\text{thf-d}_8$  or pyridine- $\text{d}_5$ , respectively. The other resonances due to the diazafluorene ligand shift slightly ( $\pm 3$  to 4 ppm) and those due to the  $\text{Cp}^*$  resonances are shielded by about 1 ppm in either  $\text{thf-d}_8$  or pyridine- $\text{d}_5$ ; the values are given in the Experimental Section. Although the resonance at  $\delta = 71.1$  ppm in toluene- $\text{d}_8$  is not assigned with certainty, it is likely due to the CH that is  $\alpha$  to N, since these resonances are the most strongly deshielded in the bipy adducts due to their proximity to the paramagnetic center giving rise to a large dipolar contribution to the total chemical shift tensor.<sup>1,2</sup> A possible interpretation of these solvent effects is the equilibrium shown in eq. 1. Synthetic support for this postulate is obtained by heating **1** in pyridine- $\text{d}_5$  for several days at 60 °C in an NMR tube. The resulting material has NMR resonances due to  $\text{Cp}^*_2\text{Yb}(\text{py})_2$  and free diazafluorene. Since the pyridine adduct is diamagnetic and **1** is paramagnetic, the equilibrium illustrated in eq. 4 implies a reversible electron transfer. A similar equilibra-

tion between  $\text{Cp}^*_2\text{Yb}(\text{bipy})$  and 4,4'-Me<sub>2</sub>bipy and related adducts was noted previously.<sup>4,14</sup>



**Mechanism studies.** The mechanism of dihydrogen elimination in the thermal rearrangement of **1** to **2**, Scheme 1, is not obvious, but is of considerable interest.

#### Scheme 1.



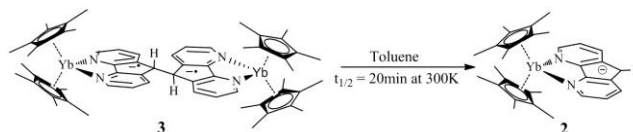
Since the reaction is relatively clean but slow at 20 °C, according to the NMR spectra, the time evolution was studied by observing the <sup>1</sup>H NMR and Vis-NIR spectra. In C<sub>6</sub>D<sub>6</sub>, the spectral intensity of **1** was estimated by the total numbers of hydrogens in **1** and their decrease with time is illustrated in the SI, together with a plot of  $\ln(C/C_0)$  as a function of time, where C is the concentration of **1**. The total numbers of hydrogens is used, rather than just using the Cp\* resonances because the Cp\* resonances of **1** and **2** overlap at some temperatures. These plots are non-linear and cannot be used to extract a rate law with confidence over the course of the reaction. Hence, the values of  $t_{1/2}$  for the reaction were estimated by determining the time at which the concentration of **1-h<sub>2</sub>** equals that of **2-h<sub>1</sub>** and the values of  $t_{1/2}$  for **1-d<sub>2</sub>** yielding **2-d<sub>1</sub>** were determined similarly. Over the temperature range of 20 °C to 87 °C, the values of the  $t_{1/2}(\text{h}) / t_{1/2}(\text{d})$  vary from 6.4 to 6.2. These rather large values show that breaking the C-H, or C-D bond occurs before or during the slow step or steps in the reaction. In addition, the  $t_{1/2}$  values are used to determine the influence of added H<sub>2</sub> or D<sub>2</sub> on the overall rate. Since the Vis-NIR spectrum of **1-h<sub>2</sub>** has a feature at 909 nm that does not appear in **2-h<sub>1</sub>**, this absorption was monitored as a qualitative indicator of the reaction rate. Since the concentration of starting material is an order of magnitude lower in the Vis-NIR spectrum, the rate is correspondingly slower. As the reaction rate is much slower, typically days (Vis-NIR) rather than minutes (NMR) at 20 °C, the reaction was studied over the first 10% of the reaction, using the method of initial rates. The values of  $k_{\text{obs}}(\text{H})$  and  $k_{\text{obs}}(\text{D})$  at 20 °C are available in SI. The value of the  $k_{\text{obs}}(\text{H}) / k_{\text{obs}}(\text{D})$  is 6.8 in agreement with values obtained from the NMR spectra. Since these rate data are qualitative, additional studies were performed to gain additional information about the mechanism. The rate of rearrangement of **1-h<sub>2</sub>** to **2-h<sub>1</sub>** was followed under an atmosphere of N<sub>2</sub> and then compared to the rate in one atmosphere of H<sub>2</sub> or D<sub>2</sub>. In each case, the rates were unchanged when followed by NMR spectroscopy. However, under D<sub>2</sub>, HD is observed in the spectrum. The rearrangement was monitored in the presence of dihydroanthracene or 1,4-cyclohexadiene as potential radical traps. In each case no resonances due to anthracene or benzene were observed, and the rates were qualitatively similar to those observed in the absence of the traps. As the rate of reaction shows strong concentration dependence, the reaction is not a unimolecular decomposition. A cross-over experiment was studied by mixing equimolar amounts of **1-h<sub>2</sub>** and **1-d<sub>2</sub>** in C<sub>6</sub>D<sub>6</sub>; following the reaction at 60 °C shows that H<sub>2</sub> and HD are both formed, but the amount of H<sub>2</sub> is greater than HD, as a

result of the primary isotope effect. The formation of HD is consistent with cross-over and a bimolecular process.

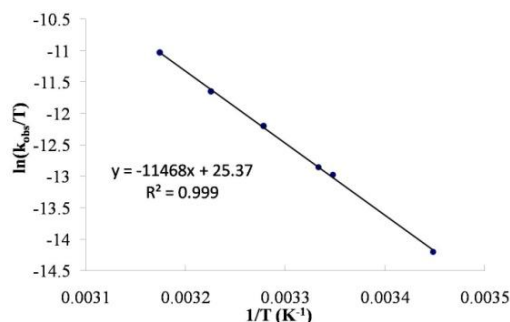
At this point in the mechanistic study, the deductions are that the rate of the reaction (i) depends upon concentration of **1**, (ii) has a large primary isotope effect, (iii) free radicals are not involved, (iv) neither H<sub>2</sub> nor D<sub>2</sub> influence the rate but HD is formed under a D<sub>2</sub> atmosphere and HD and H<sub>2</sub> are formed in a cross-over experiment.

The next kinetic study, however, is crucial in the evolution of a proposed mechanism for the reaction. In a NMR tube, the C-C bonded dimer, 9,9'-bis-4,5-diaza-9H-fluorene, see Figure 1 for a drawing, was mixed with  $\text{Cp}^*_2\text{Yb}(\text{OEt}_2)$  in C<sub>6</sub>D<sub>6</sub> at room temperature. Examination of the <sup>1</sup>H NMR spectrum within minutes showed that **2-h<sub>1</sub>** was formed cleanly, Scheme 2. A similar reaction was observed when the deuterated analogue was used.

#### Scheme 2.



Since this reaction is clean and rapid, the disappearance of the two farthest downfield resonances was monitored in the NMR spectrum from 17 °C to 42 °C over three half-lives. The  $\ln(C/C_0)$  plots as a function of time are linear and yield a first order rate constant of  $k_{\text{H}}$  of  $1.10 \times 10^{-3} \text{ s}^{-1}$  at 27 °C. Similarly, the value of  $k_{\text{D}}$  at 27 °C is of  $1.00 \times 10^{-3} \text{ s}^{-1}$ . Plots of these data are available in the SI. The  $k_{\text{H}}/k_{\text{D}}$  is 1.1 showing that the cleavage of the C-C bond does not involve C-H bond cleavage before the slow step. The rate of the reaction as a function of temperature gives  $\Delta H^\ddagger = 23(1) \text{ kcal}\cdot\text{mol}^{-1}$  and  $\Delta S^\ddagger = 3(1) \text{ cal}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ . The Eyring plot is shown in Figure 3.



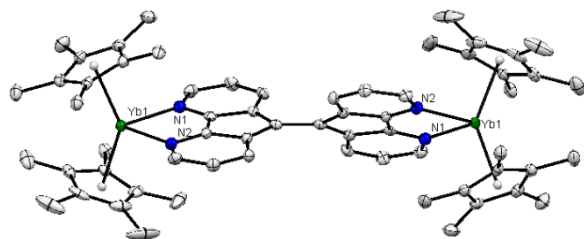
**Figure 3:** Eyring Plot for the complex  $[(\text{Cp}^*_2\text{Yb})_2(9,9'\text{-bis-4,5-diaza-9H-fluorene})]$  (**3-d<sub>2</sub>**) going to  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorenyl-d})$  (**2-d**).

The concentration dependence of the rate of reaction of **3** to **2** was also followed by Vis-NIR spectroscopy since the concentration is an order of magnitude less than in the NMR experiment. The plot of  $\ln(C/C_0)$  against time was obtained over three half-lives and  $k_{\text{H}}$  was  $0.6 \times 10^{-3} \text{ s}^{-1}$  at 20 °C. The near equality of the rates determined in the Vis-NIR and NMR experiments show that the reaction does follow a first-order rate law and the low value of the entropy of activation is consistent with the notion that the C-C bond cleavage step occurs after the transition state. The fact that the rate of reaction of **3** to **2** is much faster than the rate of reaction of **1** to **2**, is consistent with the intermediate formation of **3** from **1**, implying



the sequence of elementary reactions as **1**→**3**→**2**. This idea is outlined in more detail in the Discussion Section.

In the process of collecting the data for the Eyring plot for the reaction of **3** to **2**, Figure 3, resonances of another compound were detected in the  $^1\text{H}$  NMR spectrum in the reactions at temperatures below 27 °C. On a synthetic scale, when 9,9'-bis-4,5-diaza-9*H*-fluorene is mixed with  $\text{Cp}^*_2\text{Yb}(\text{OEt}_2)$  in  $\text{Et}_2\text{O}$  at -78 °C and the mixture allowed to warm slowly to 0 °C over approximately two weeks a brown powder identified as **2** by NMR spectroscopy was obtained that contained purple crystals of the compound identified as **4** by X-ray crystallography. The ORTEP, Figure 4, shows that **4** is the 9,9'-bis-4,5-diazafluorenylidene coordinated to two  $\text{Cp}^*_2\text{Yb}$  fragments. The Yb-C( $\text{Cp}^*$ ) and Yb-N distances are in the range found for Yb(III). The two carbene fragments are linked by a C-C double bond of 1.463(9) Å with a torsion angle between the two fragments of 39°. In the free ligand the C-C distance is 1.385(4) Å and the torsion angle is 38°. <sup>12</sup> The C-C bond in **4** is therefore a stretched double bond and the complex is chiral with  $\text{C}_2$  symmetry.

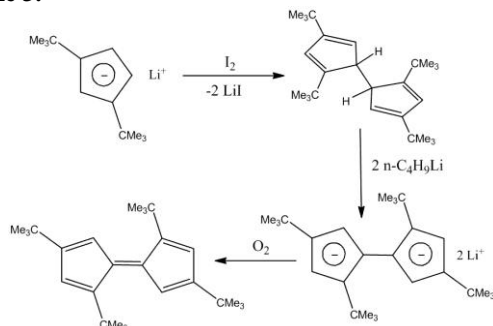


**Figure 4.** ORTEP of the complex **4**. The hydrogens and the co-crystallized solvent molecule are removed for clarity

Although the resonances in the NMR spectra due to **3** and **4** are absent during the transformation of **1** to **2** at temperatures greater than 27 °C, those due to **4**, are observed at lower temperature. Once isolated, **4** is stable at room temperature, which implies that the rate of the transformation of **3** to **2** is faster than **3** to **4** and **4** is not on the pathway from **3** to **2** and therefore not the source of dihydrogen. The identity of **4** also is consistent with the postulate that **3** is the 2:1 adduct as shown in Scheme 2.

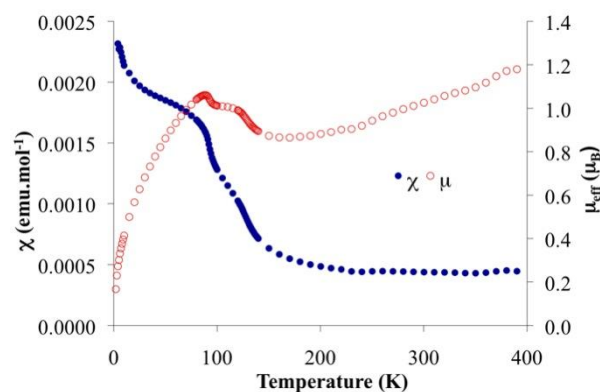
The conversion of the diazafluorene adduct **3** to **4** is, in a sense, related to the conversion of the isomers of  $(\text{Me}_3\text{C})_2\text{C}_3\text{H}_4$  to dihydrofulvalene and then to fulvalene, Scheme 3. <sup>16</sup> The analogy between the conversion of  $\text{CpH}$  or the 3,3'- $\text{CH}_2$  annulated to bipyridine is invoked later when the reaction mechanism is discussed.

**Scheme 3.**



Two studies involving formation of  $\text{H}_2$  from 4,5-diazafluorene coordinated to d-transition metals are relevant to the studies outlined above. Mach and co-workers observed dihydrogen evolution when the 4,5-diazafluorene is added to  $\text{Cp}^*_2\text{Ti}(\text{Me}_3\text{SiCCSiMe}_3)$ ,  $\text{Cp}^*$  is either  $\text{C}_5\text{H}_5$ ,  $\text{C}_5\text{HMe}_4$ ,  $\text{C}_5\text{Me}_5$  and they isolated the  $\text{Cp}^*_2\text{Ti(III)(4,5-diazafluorenyl)}$  adduct. <sup>17</sup> Song and co-workers reported that  $\text{Ru}(\text{PPh}_3)_2(\text{H}_2)(4,5\text{-diazafluorene})$  and  $\text{Ru}(\text{PPh}_3)_2(\text{H})(\text{N}_2)(4,5\text{-diazafluorenyl})$  can be interconverted by  $\text{N}_2$  and  $\text{H}_2$ . <sup>18</sup>

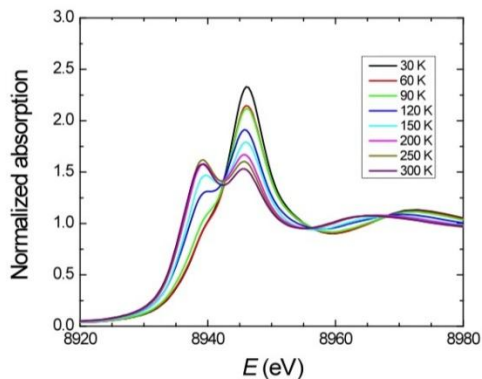
**Electronic Structure.** The solid state magnetism of **1**, **1-d**, **2** and **2-d** was studied over the temperature range 2-360 K and plots are shown in Figure 5 and in the S13. Complex **1** exhibits a low magnetic moment,  $\mu_{\text{eff}} = 1.2 \mu_{\text{B}}$  at 360 K, as observed for the decamethylterbocene adducts with various bipyridine ligands. <sup>1-4,7</sup> As in these adducts, when 4,5-diazafluorene is reduced by the Yb(II) center, a hole is created in the Yb(III) center ( $f^{13}$ ) as the electron enters the  $\pi^*$  orbital of the ligand, and the calculated effective moment for the complex is expected to be  $4.8 \mu_{\text{B}}$  at 300 K if the spins are uncorrelated ( $^2F_{7/2} + ^2S$ ).



**Figure 5:** Plot of  $\mu_{\text{eff}}$  and  $\chi$  vs. T (K) for the complex  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazafluorene})$ , **1** in the temperature range 2-300 K.

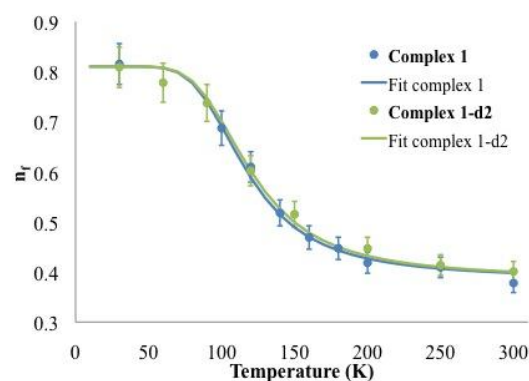
The low effective moment observed for complex **1** clearly implies that the two spins are correlated. However, this information is not sufficient to allow a quantitative description of its electronic structure. Inspection of the  $\chi$  vs. T plot indicates that the correlation is not straightforward since a plateau is observed in the temperature range 150 K-300 K (Figure 5). Moreover, the very low magnetic moment observed in **1** makes these data susceptible to so-called “Curie tails” from trace amounts of magnetic impurities, such as  $J=7/2$  impurities. The data in Figure 5 are obtained on a sample that was sublimed and the Curie tail is minimized. Using the sublimed and therefore highly pure material results in two discontinuities occurring at 83 K and 120 K. Thus, the temperature dependent magnetic data of **1** shows a very low magnetic susceptibility and Temperature Independent Paramagnetic (TIP) behavior in the high temperature regime as well as a low temperature regime with two distinct transitions between these regimes. In earlier studies, a molecular model was developed from CASSCF calculational studies that traced the temperature dependant magnetic and XANES behavior to one or two open-shell singlet states that lie below the triplet state. If the two open-shell singlet states are close in energy, their propu-

lation change as a function of temperature can be modeled by a Boltzmann distribution between these two singlet states, referred to as SS1 and SS2. The TIP behavior is thought to result from van Vleck mixing of triplet character into the singlet states. The experimental magnetic and spectroscopic data for  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorene})$ , **1**, are treated in an analogous way as outlined below.

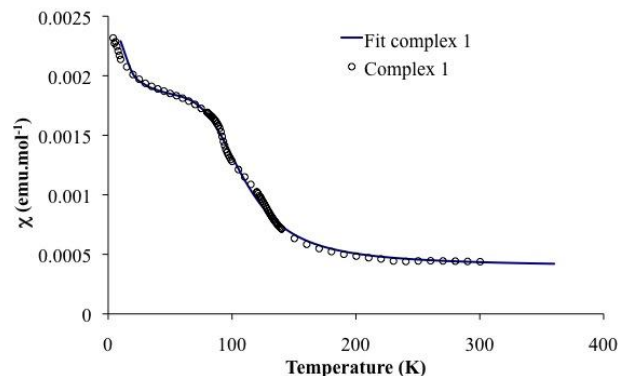


**Figure 6:** Yb  $L_{\text{III}}$ -edge XANES data for the complex  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorene})$ , **1** at various temperatures.

The Yb  $L_{\text{III}}$ -edge XANES spectra were recorded at different temperatures over a 30 K–300 K range. At room temperature, two white line features are visible in agreement with the presence of both  $f^{14}$  and  $f^{13}$  character for complex **1** in a 0.62:0.38 ratio. The  $f^{14}$  feature decreases while the  $f^{13}$  feature increases with decreasing temperature. The magnetic susceptibility data indicated the presence of TIP behavior at lower temperature. It is possible to rationalize TIP behavior with the presence of a hole on the  $\text{Cp}^*_2\text{Yb}$  fragment and an electron in the ligand that results in the formation of an open-shell singlet that is lower in energy than the triplet. The electron that is transferred to the ligand has several accessible  $\pi^*$  orbitals resulting in several possible open-shell singlets of different configuration that are lower in energy than that of the triplet. Each of the open-shell singlets has different magnetic properties because of the relative amount of the  $\pi^*$  contribution to the configurations of the  $f^{13}\text{-}\pi^{*1}$  states compared to the  $f^{14}$  configuration that is diamagnetic ( $\chi < 0$ ). In the magnetic data for **1**, Figure 5, two transitions are observed at 83 K and 120 K. From these data, it is possible to rationalize the presence of three possible open-shell singlet states (SS) below the triplet that have comparable energy and are thermally populated as the temperature increases, leading to the observation of two transitions  $\text{SS1} \leftrightarrow \text{SS2}$  and  $\text{SS2} \leftrightarrow \text{SS3}$ . However, the Boltzmann fits only used two singlet states below the triplet because of the valence change between SS1 and SS2 is likely too subtle to be detected by the  $n_f$  results, which are only precise to a few percent. The enthalpy and entropy changes determined from the Boltzmann fits to these XANES results are in reasonable agreement with those obtained by similar fits to the magnetic data and are given in the caption of Figures 7 and 8 and are discussed in the discussion section.



**Figure 7:** Plot of  $n_f$  vs.  $T$  (K) for the complex  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorene})$  (**1**) and **1-d<sub>2</sub>**. The best fits are obtained from a Boltzmann distribution between two singlet states using the following parameters: (**1**)  $n_{f1} = 0.81$ ,  $n_{f2} = 0.38$ ,  $\Delta H = 5.1(3)$   $\text{kJmol}^{-1}$ ,  $\Delta S = 43(5)$   $\text{Jmol}^{-1}\text{K}^{-1}$ . (**1-d<sub>2</sub>**)  $n_{f1} = 0.81$ ,  $n_{f2} = 0.38$ ,  $\Delta H = 5.1(3)$   $\text{kJmol}^{-1}$ ,  $\Delta S = 42(5)$   $\text{Jmol}^{-1}\text{K}^{-1}$ .



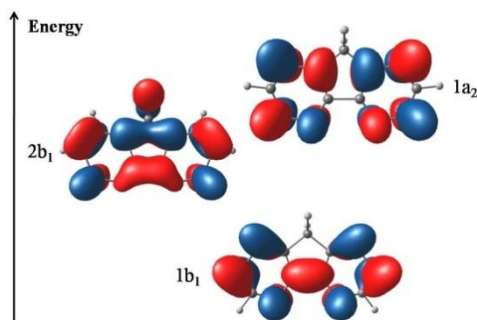
**Figure 8:** Plot of  $\mu_{\text{eff}}$  and  $\chi$  vs.  $T$  (K) for the complex  $\text{Cp}^*_2\text{Yb}(4,5\text{-diazfluorene})$  (**1**). The best fit is obtained from a Boltzmann distribution between two singlet states using the following parameters:  $\chi_{\text{SS1}} = 0.00174$   $\text{emu.mol}^{-1}$ ,  $\chi_{\text{SS2}} = 0.00038$   $\text{emu.mol}^{-1}$ ,  $\Delta H = 5.27(5)$   $\text{kJmol}^{-1}$ ,  $\Delta S = 47.5(2)$   $\text{Jmol}^{-1}\text{K}^{-1}$ ,  $C_{\text{imp}}/T = 0.00055$   $\text{emu.mol}^{-1}\text{K}^{-1}$ .

For complex **2**, both the magnetism in the 2–300 K temperature range and the Yb  $L_{\text{III}}$ -XANES measurement at room temperature as well as at 30 K are in agreement that this complex is an isolated  $f^{13}$  paramagnet,  $\text{Cp}^*_2\text{Yb(III)(fluorenyl)}$ , and implies that the negative charge is delocalized on the fluorenyl ligand as deduced above.

The Vis-NIR spectra were recorded for **1**, **2** and **3** in toluene in the 300–1100 nm wavelength range and are shown in SI. The spectrum of **1** and **3** are very similar and present a very broad and intense band in the Vis-NIR region (700–1100 nm), which is attributed to  $\pi^* \rightarrow \pi^*$  transitions since the spectral profile is very similar to that of both  $\text{Cp}^*_2\text{Yb(bipy)}$  and  $\text{Na(bipy)}$ .<sup>5,14</sup> This band has a maximum at 909 nm. On the other hand, the spectrum from **2** displays only a set of three low intensity, yet relatively sharp, bands in the same range that are characteristic of  $f^{13}$   $f \rightarrow f$  transitions.<sup>5,14,19</sup> The disappearance of the band with a maximum at 909 nm was used to follow the time dependence and therefore the rate of reaction **7** because it is a signature of the presence of unpaired spin

density in the ligand in **1** and **3** and is situated in the range in which **2** does not absorb.

### Calculations.



**Figure 9:** Representation (Extended Hückel calculation) of the three lowest  $\pi^*$  orbitals of the 4,5-diazafluorene, their relative energy and corresponding labels in  $C_{2v}$  symmetry.

In order to gain insight into the electronic structure of **1**, computational investigations were carried out using the methodology described in previous studies.<sup>1,7</sup> Geometry optimizations were carried out using f-in-core RECP (adapted to the +II and +III oxidation states) at the DFT level (B3PW91). The structure obtained with a +III oxidation state RECP is in agreement with the X-Ray structure of **2**, shown in Figure 1 (bond A is 1.413 Å and the Yb-N distances 2.396 Å). The structural parameters obtained for **2** were used in the CASSCF calculations for **1**. As reported in the bipyridine systems,<sup>1,7</sup> a CAS definition of 4 active orbitals (2f and the 2 lowest  $\pi^*$ ) and 4 active electrons leads to similar results as a bigger CAS with 8 active orbitals (6f and  $2\pi^*$ ) and 12 active electrons, so that the former was used. State-specific calculations were carried out for the three lowest singlets and the lowest triplet. The lowest electronic state is predicted to be an open-shell singlet with a mixture of 84%  $f^{13}(\pi^*)^1$  and 16%  $f^{14}$  in which  $\pi^*$  is composed of 55% of  $\pi^*_1$  and 45% of  $\pi^*_2$ . A second open-shell singlet is found 0.24 eV higher in energy and is also a mixture of 39%  $f^{13}(\pi^*)^1$  and 61%  $f^{14}$  in which  $\pi^*$  is composed of 74% of  $\pi^*_1$  and 26% of  $\pi^*_2$ . The closed-shell singlet is found 2.38 eV higher in energy. The lowest triplet is predicted to be 0.55 eV above the lowest singlet and is based on a pure  $f^{13}\pi^*_1$  configuration. Despite our considerable effort, it is not possible to locate a third singlet state lower than the triplet. These computational results, Table 3, are in accord with the experimental observations for **1**.

**Table 3.** State configuration fractions for f-orbitals and  $\pi^*$ -orbital as calculated by CASSCF.

Label	$f^{13}$	$f^{14}$	$n_f$
SS1	0.84	0.16	0.84
SS2	0.39	0.61	0.39
Configuration	$\pi^*_1$	$\pi^*_2$	
SS1	0.55	0.45	
SS2	0.74	0.26	

**Discussion.** The interpretation of the experimental studies outlined above is that the ytterbium complex **1** has an inter-

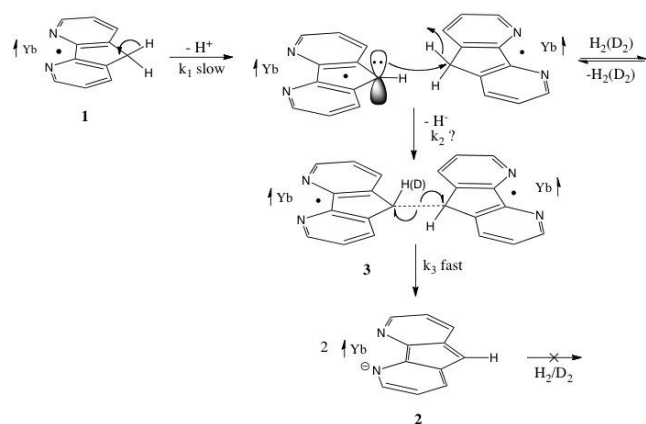
mediate valence between +II and +III, while the valence in **2** is +III. Compound **2** is therefore an isolated paramagnet based upon a  $^2J_{7/2}$  state but **1** is multiconfigurational, like the  $Cp^*_2Yb(bipyridine)$  adducts reported earlier. The experimental results for **1** show that at least two open-shell singlet states are lower in energy than the triplet state and there is an equilibrium between the two low-lying open-shell singlet states, SS1 and SS2. The thermochemical quantities  $\Delta H$  and  $\Delta S$  are obtained for the equilibrium  $SS1 \rightleftharpoons SS2$  by fitting the Boltzmann distribution to the values of  $\chi$  and  $n_f$  obtained as a function of temperature. The values of  $\Delta H$  and  $\Delta S$  show that SS1 is favored by enthalpy and SS2 is favored by entropy; at 300 K, SS2 is favored by about -8 kJ/mol over that of SS1. A similar thermochemical result is observed for the methylsubstituted bipyridine adducts. Since CASSCF calculations indicate that the SS1 has a value of  $n_f$  of 0.84, Yb(III) dominates Yb(II), consistent with shorter Yb-C( $Cp^*$ ) and Yb-N in the former adducts. In SS2, the contribution is inverted and the longer Yb-C( $Cp^*$ ) and Yb-N bonds result in an increase in entropy. The computational results provide a molecular level of understanding of the thermochemistry. Although the 4,5-diazafluorene and bipyridine adducts of  $Cp^*_2Yb$  have multiconfigurational ground states, they differ in their reactivity; **1** eliminates  $H_2$  whereas the bipy adducts are thermally stable.

A possible mechanism that is consistent with the experimental facts for the transformation of **1** to **2** by way of **3** is outlined in Scheme 4. Adduct **1** has biradical character and the 4,5-diazafluorene ligand has unpaired spin density distributed in various  $\pi\pi$ -orbitals located on the nitrogen and carbon atoms. Since the LUMO+1 of  $2b_1$  symmetry has unpaired spin density at C(9), the p orbital on C(9) is presumably the “active orbital” that is the trigger for chemistry at C(9). If this conjecture is correct, then loss of a proton from **1** affords a carbene. At this point, H/D exchange can occur when  $D_2$  is present, since hydrogen addition to a singlet carbene is generally rapid. The carbene radical anion<sup>20,21</sup> can also attack C(9) in another molecule, eliminating a hydride, forming **3**. This step accounts for the HD formation in the cross-over experiment. If this step ( $k_2$ ) is slow, then the rate will show a concentration dependence. Since **3** can be generated and is shown to rearrange rapidly to **2**,  $k_3$  is faster than either  $k_1$  or  $k_2$ . The step involving  $k_3$  is homolytic cleavage of the C-C bond that results in formation of the 4,5-diazafluorenyl adduct **2** or  $H_2$  elimination forming **4**, depending upon the temperature. The  $k_2$  and  $k_3$  steps are reminiscent of the dimerization of a cyclopentadiene to dihydrofulvalene and then to fulvalene<sup>22</sup>, as in Scheme 3,<sup>16</sup> in which  $Cp^*_2Yb$  replaces  $I_2$  as the reducing agent.

The set of elementary reactions outlined in Scheme 4 is consistent with what is currently known about the mechanism. The individual steps are set forth in the sense of a postulate as a guide for further study rather than as an established set of facts. The key postulate, however, is that the electronic structure of **1**, stabilizes the biradical enough so that it can be isolated but not so stable that it does not do chemistry.



## Scheme 4



## CONCLUSION.

Adduct **1** is an example of the growing class of decamethyl- ytterbocene adducts with 2,2'-bipyridine and its substituted derivatives in which ytterbium has an intermediate valence, that is, its valence is non-integral and lies between two and three. The 4,5-diazafluorene ligand in the adduct is also a radical in which the unpaired spin density is on the  $\pi$ -orbitals of the carbon and nitrogen atoms. The electronic structure of the adduct is a multiconfigurational open-shell singlet state that lies 0.55 eV below the triplet state according to CASSCF calculations. In previously reported ytterbocene adducts with open-shell singlet ground states, the biradical adducts are sufficiently stable, relative to the hypothetical triplet biradical, and they do not do chemistry. In contrast, the biradical **1** is an example in this class of adducts that is sufficiently stable to be isolated and characterized but is able to do chemistry; the heterolytic cleavage of C-H bond generating the Yb(III) amide, **2**, and dihydrogen. Adduct **1** is therefore not just a molecule with unusual magnetic properties but its biradical character triggers breaking and making chemical bonds.

## ASSOCIATED CONTENT

**Supporting Information.** Information concerning magnetic data, visible-NIR spectroscopy, kinetics and X-ray crystallography; crystal data and CIF, CCCD 896946 (**2**) and CCCD 896947 (**4**).

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